

Attorney Docket No.: 021396-000203US Client Reference No.: AVI-000 CON

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ivarie, et al.

Application No.: 10/696,671

Filed: October 28, 2003

For: NOVEL VECTORS IN AVIAN

TRANSGENESIS

Examiner: Kaushal, Sumesh

Art Unit: 1633

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the nonpatent and foreign references can be found in parent Application No. 09/173,864, filed October 16, 1998 (Attorney Docket No. 021396-000200US).

orney Docket No. 021396-000200US).

It is respectfully requested that the cited references be expressly considered secution of this application, and the references be made of record therein and the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

information and references cited are prior art merely because they are in this statement and no

Ivarie, et al.

Application No.: 10/696,671

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Brigitte A. Hajos Reg. No. 50,971

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Subsur or form 1449B/PTO INFORMATION DISCLOSURE **STATEMENT BY APPLICANT** 

(use as many sheets as necessary)

Sheet 5

Complete if Known				
Application Number	10/696,671			
Filing Date	October 28, 2003			
First Named Inventor	Ivarie, Robert D.			
Art Unit	1633			
Examiner Name	Kaushal, Sumesh			
Attorney Docket Number	021396-000203US			

			U.S. PATENT DO	CUMENTS+	
		Document Number			
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-4,959,317	09-25-1990	Sauer	
	A2	US-4,997,763	03-05-1991	Hughes et al.	
	А3	US-5,162,215	11-10-1992	Bosselman et al.	
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	A11	US-5,784,992	07-28-1998	Petitte et al.	

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Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number⁴	Kind Code <sup>5</sup>	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A12	PCT	WO 90/11355		10-04-1990			
	A13	EPO	0 424 027	A1	04-24-1991	-,		
	A14	EPO	0 424 044	A1	04-24-1991			
	A15	PCT	WO 94/20608		09-15-1994			
	A16	PCT	WO 95/11302		04-27-1995			
	A17	PCT	WO 97/47739		12-18-1997			
	A18	PCT	WO 98/01027		01-15-1998			

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute f	or form 1449B/PTC	)			Complete if Known
INITO	DES A TION	DIO	OL OCUPE	Application Number	10/696,671
			CLOSURE	Filing Date	October 28, 2003
STAT	EMENT B	Y A	PPLICANT	First Named Inventor	Ivarie, Robert D.
				Art Unit	1633
(u	se as many she	ets as	necessary)	Examiner Name	Kaushal, Sumesh
Sheet	4	of	5	Attorney Docket Number	021396-000203US

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(1	use as many she	eets as	necessary)	Examiner Name	Kaushal, Sumesh		
Sheet	5	of	5	Attorney Docket Number	021396-000203US		

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Sheet	T 6	of	5	Attomey Docket Number	021396-000203US	

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	A54	MOUNTFORD et al., "Dicistronic targeting constructs: reporters and modifiers of mammalian gene expression," <i>Proc. Natl. Acad. Sci. USA</i> , 91:4303-4307 (1994).	
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	A70	SCHWEERS et al., "A protein with a binding specificity similar to NF-kB binds to a steroid-dependent regulatory element in the ovalbumin gene," <i>The Journal of Biological Chemistry</i> , 266:10490-10497 (1991).	
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